

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Jie Liu et al.

Serial No.: 10/662,083

Filed:

September 15, 2003

For:

COMPOUND ELECTRODES FOR

**ELECTRONIC DEVICES** 

Group Art Unit:

2826

Examiner:

Fazli Erdem

Atty. Docket:

132096-1/YOD

(GERD:0480)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 CERTIFICATE OF MAILING 37 C.F.R. 1.8

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March 3, 2006

Date

Lynda Howell

Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97(c) AND 1.98

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), it is respectfully requested that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record. Applicants note that, due to recent amendments to 37 C.F.R. § 1.98(a), copies of the listed domestic references are no longer required to be submitted to the Examiner.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

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Serial no. 10/662,083 Information Disclosure Statement

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Furthermore, the references listed on the attached PTO/SB/08 Forms are not to be construed as an admission that these references qualify as prior art as to the above-referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to the right to demonstrate that any of these references do not

qualify as prior art should the Examiner choose to apply any of these references.

The following information is listed on the attached PTO/SB/08 Forms in accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3).

In accordance with 37 C.F.R. §1.97(c) a fee of \$180.00 is due for the present Information Disclosure Statement. The Commissioner is authorized to charge any missing fee, and any additional fee which may be required, to Deposit Account No. 07-0868; Order No. 132096-1 /YOD (GERD:0480).

Respectfully submitted,

Date: March 3, 2006

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PTO/SB/08A (08-03)

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|-----------------------------------|---------------|---------------|-------|---|--------------------------|--|--|
| Substitute for form 1449/PTO      |               |               | SURE  | Application Number                        | 10/662,083               |  |  |
| STATEMENT BY APPLICANT            |               |               | ICANT | Filing Date                               | September 15, 2003       |  |  |
|                                   |               |               |       | First Named Inventor                      | Jie Liu et al.           |  |  |
| (Use as many sheets as necessary) |               |               |       | Art Unit                                  | 2826                     |  |  |
|                                   |               |               |       | Examiner Name                             | Frazi Erdem              |  |  |
| Sheet                             | 1             | of            | 1     | Attorney Docket Number                    | 132096-1/YOD (GERD:0480) |  |  |

| U.S. PATENT DOCUMENTS |              |   |                             |  |   |
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| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (if known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear |
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|                       | A18          | US-   |                             |  |   |
|                       | A19          | US-   |                             |  |   |

| - Examiner | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication        | Name of Patentee or         | Pages, Columns, Lines,                                   |                |
|------------|--------------------------|--|--------------------|-----------------------------|--|----------------|
| Initials*  |                          | Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5(if known)</sup> | Date<br>MM-DD-YYYY | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures<br>Appear | T <sub>e</sub> |
|            | <b>B</b> 1               | WO02069412   | 09/06/2002         | Buckley et al               | 15 pages   | X              |
|            | B2                       |  |                    |                             |  |                |
|            | B3                       |  |                    |                             |  |                |
|            | <b>B4</b>                |  |                    |                             |  |                |
|            | B5                       |  |                    |                             |  |                |
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| Examiner  |   | Date       |  |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.